### Yaoning(Kevin) Yu

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#### EDUCATIONS

EDCENTIONS	
University of Chicago   Applied Data Science	Sep 2023 – Dec 2024
University of Washington   Mathematics	Sep 2019 – Aug 2022
PUBLICATIONS/PREPRINTS	
[1] Data-Augmented Prompt Optimization for LLMs	Under Review for ACL 2025
• Authors: Yaoning Yu, Kai wei, Ye Yu, Haohan Wang, Haojing Luo	
[2] Large Language Model-based Data Science Agent: A survey	Under Review for COLM 202
• Authors: Peiran Wang, Yaoning Yu, Chen Ke, Xianyang Zhan, Haohan Wang	
EXPERIENCES	
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#### Research Assistant | University of Illinois Urbana-Champaign | Champaign, IL

April 2024 – Present

In the area of LLMs, AI Security, and Multimodal | Under Supervision of Dr. Haohan Wang.

- Led the development of an advanced desktop application using python(pdf2image, pdfplumber, docx, ReportLab, OCR, etc), Tauri, React,
  Tailwindcss, and LLM(Large Language Models)-based agents for underwriting file classification and interpretation, employing prompt
  tuning and customized privacy-preserving methods by LLM(Large Language Models) APIs Gemini and OpenAI.
- Designed a **self-prompt learning loop as a data augmentation method** with LLM(Large Language Model) multi-agent systems, **achieving 96% accuracy in underwriting classification tasks despite limited data** (20 clients).
- **Defense strategies against jailbreak attacks on large vision-language models (LVLMs)** by designing inverse perturbations based on CLIP features and attention maps to enhance model robustness and alignment.
- Implementing token trimming and GCG (Greedy Coordinate Gradient) prompt optimization to reduce input token cost in prompting while maintaining or improving output accuracy.

### Industry Data Analyst Intern | Guotai Junan Securities | Shanghai, China

Jan 2023 – April 2023

- Developed **financial valuation models** for company financial analysis using **Excel**. Models incorporated total revenue, product-specific revenue, gross profit margin, product sales volume, pricing, and market share data to assess company performance
- Created a Power Conversion System (PCS) **database in Excel**, sourcing information from company annual reports, prospectuses, and industry reports. This database served as a valuable resource for tracking and analyzing PCS-related data
- Authored **industry research reports** covering microinverters, Power Conversion Systems (PCS), and PV ribbon, in factors across different areas of PCS such as functionality and classification.
- Produced detailed analyses of various microinverter companies, evaluating and comparing based on their historical performance, product offerings, etc.

#### Data Science Intern | Quant Investment | Shanghai, China

Sep 2022 – Jan 2023

- Analyzed financial datasets, including trading data, position data, and daily stock market data, using Python(pandas and numpy) to calculate
  daily and monthly profits for each account based on their invested stocks
- Developed highly efficient and automated Python programs for daily web scraping of financial websites using Python (mainly Selenium, Requests, and BeautifulSoup)
- Created data visualizations and analysis reports using various types of plots to effectively convey insights by Python (mainly Seaborn and Matplotlib)
- Researched the applications of **futures tick data** and its relevance to market behavior, contributing to a better understanding of financial markets

### Research Assistant | University of Washington | Seattle, WA

April 2022 – Oct 2022

In topics of Ocean Dynamic under the supervision of Dr. Manucharyan  $\mid$  Research Website

- Transformed extensive datasets from 1978 to 2021, including ice concentration, sea ice velocity, ocean velocity, and atmospheric wind velocity, into the Ease Grid format using **Python (Pandas and Numpy)**
- Created visual representations of these datasets in Ease Grid format to verify data alignment with the original datasets by Python
- Utilized Python for data interpolation and ML(Machine Learning) modeling, employing techniques such as Linear Regression and MLP regression, to estimate deep ocean activity (velocity) with interpolated datasets

## RELEVANT PROJECTS

# NYC taxi demand prediction for different time

Autumn 2023

• Using NYC Yellow Taxi data spanning from 2009 to 2023, which encompasses 13.6 billion records, we built and **fine-tuned ML(Machine Learning) regression models** achieving up to 85% accuracy. The objectives were twofold: 1) to estimate taxi demand across different locations and times, and 2) to predict the duration of each trip, employing PySpark for these analyses.

### **Natural Language Prcessing and Cognitive Computing (Individual)**

Winter 2024

• Applied Transformer, topic modelling (**LDA model with ktrain and BERTopic**), Zero-shot (NLI) modelling, sentimental analysis and classification (**CNN/Pooled Bi-Directional GRU**), entity identification (**SpaCy/NLTK**) in order to provide actionable recommendations on what can be done with AI to automate the jobs and improve employee productivity based on collection of ~200k news articles.

# TradingHero AI Application

Spring 2024

• Building a comprehensive Conversational AI Streamlit application designed to empower traders and investors with advanced tools for stock market analysis through vertex AI and GCP. The application integrates a wide range of functionalities, including market status updates, historical data visualization, stock recommendations, fintuned FinBERT for news sentiment analysis, advanced nueral network with Facebook Prophet model for technical trend analysis, and AI-driven insights. With a focus on the US stock market, Trading Hero aims to provide users with actionable insights to inform their trading decisions (by python implementing streamlit, streamlit\_plotly\_events, yfinance, finnhub-python, pandas, numpy, google.generativeai, prophet, scikit-learn, Pytorch, transformers, chardet).

## **SKILLS & LANGUAGES**

**Technical Skills**: Python (Scikit-learn, Numpy, Pandas, Scipy, Matplotlib, Seaborn, Pytorch, Cartopy, TensorFlow, Beautifusoup, Request), MATLAB, Java, R, SQL, Spark (ML Rgression, ML Classification), LLMs(Large Language Models), LLM-Agents

Others: Latex, Markdown; MS Office; AWS, Google Cloud Platform; Cloud Computing, Tableau; SOLIDWORKS, Google VertexAI

Interests: Music, Reading, Films, Photographs